



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/877,705	06/08/2001	Jason Li	26757-704

021971  
WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050

CONFIRMATION NO. 1050

## FORMALITIES LETTER



\*OC000000006341879\*

Date Mailed: 07/25/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

08/21/2001 WABDI1 00000047 232415 09877705

FILED UNDER 37 CFR 1.53(b)

01 FC:201 355.00 CH  
02 FC:205 65.00 CH

**Filing Date Granted**

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 840.**
- A copy of the "Sequence Listing" in computer readable form has been submitted. However, the content of the computer readable form does not comply with the requirements of 37 C.F.R. 1.822 and/or 1.823, as indicated on the attached copy of the marked -up "Raw Sequence Listing." Applicant must provide a substitute computer readable form (CRF) copy of the "Sequence Listing" and a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d).

**For questions regarding compliance to these requirements, please contact:**

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at [patin21help@uspto.gov](mailto:patin21help@uspto.gov) or [patin3help@uspto.gov](mailto:patin3help@uspto.gov)

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*A copy of this notice **MUST** be returned with the reply.*

  
\_\_\_\_\_  
Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

AUG 16 2001

Page 1 of 3

RECEIVED



JUN 27 2001

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SONSINI,  
GOODRICH & ROSATICOMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
09/877,705	06/08/2001	1641	0.00	26757-704	27	17	1

CONFIRMATION NO. 1050

021971

WILSON SONSINI GOODRICH & ROSATI  
650 PAGE MILL ROAD  
PALO ALTO, CA 943041050

DOCKETED

FILING RECEIPT



\*OC000000006341878\*

Date Mailed: 07/25/2001

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

~~Jason Li, Residence Not Provided~~ -- Xiangiang Li, Palo Alto, CA --

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 07/25/2001

Projected Publication Date: To Be Determined - pending completion of Missing Parts

Non-Publication Request: No

Early Publication Request: No

Title

Method for screening for drug candidates for modulating transcription factor

Preliminary Class

435

Data entry by : KELECHA, ASEGEDECH

Team : OIPE

Date:

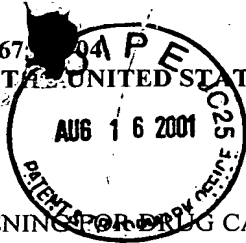
DATE:



In re application of: Li  
Serial No.: 09/877,705  
Filed: June 8, 2001

Group No.: 1641  
Examiner: Not Assigned

For: METHOD FOR SCREENING FOR DRUG CANDIDATES FOR MODULATING TRANSCRIPTION FACTOR ACTIVITY



Commissioner for Patents  
Washington, D.C. 20231

**COMPLETION OF FILING REQUIREMENTS—NONPROVISIONAL APPLICATION**

- I. This replies to the Notice to File Missing Parts of Application (PTO-1533) mailed July 25, 2001. A copy of the Notice to File Missing Parts of Application—Filing Date Granted (Form PTO-1533) is enclosed.

**DECLARATION OR OATH**

- II. No declaration or oath was filed. Enclosed is the original declaration or oath for this application.

**POWER OF ATTORNEY**

- III. Enclosed is an original Power of Attorney by Assignee to Exclusion of Inventor.

**SEQUENCE LISTING**

- IV. Enclosed is Response to Notice to Comply With Sequence Listing Requirement, Copy of Raw Sequence Listing Error Report, substitute Computer Readable Form (CRF) on diskette, and paper copy of Sequence Listing in compliance with 37 CFR §1.822 and/or 8.823.

**PRELIMINARY AMENDMENT**

- V. Enclosed is a Preliminary Amendment with Inventor's correct name.

**SMALL ENTITY STATUS**

- VI. Applicant claims small entity status. See 37 CFR 1.27.

**COMPLETION FEES**

1. Filing Fee: Original patent application (37 C.F.R. 1.16(a))	\$355.00
2. Fee for Claims: Each claim in excess of 20 (37 C.F.R. 1.16(c))	\$0
3. Fee for Claims: Each independent claim in excess of 3 (37 C.F.R. 1.16(b))	\$0
4. Surcharge Fee: Late payment of filing fee (37 C.F.R. 1.16(e))	\$65.00
5. Assignment (See "ASSIGNMENT COVER SHEET".)	<u>\$40.00</u>
6. Total completion fees	\$460.00

**REQUEST FOR CORRECTED FILING RECEIPT**

- VII. Attached is a copy of the official filing receipt for which issuance of a corrected filing receipt is respectfully requested. There are errors with respect to the following, which are incorrectly entered.

Error in	Correct data
1. Applicant name and/or addresses	--Xianqiang Li, Palo Alto, CA--

At least one of the above corrections is due to applicant's error and the fee under 37 C.F.R. 1.19(h), of \$25.00 applies.

**TOTAL FEE DUE**

- VIII. The total fee due is: Completion fees \$460.00 and Corrected Filing Receipt Fees \$25.00

**TOTAL FEE DUE \$485.00**

**PAYMENT OF FEES**

- IX. Charge Account No. 23-2415 (26757-703) in the amount of \$485.00.  
Please charge Account No. 23-2415 (26757-703) for any fees that may be due by this paper.

  
David J. Weitz, Reg. No. 38,362

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